

Direct Investment and/or ISA and/or Plan Transfer

Please complete in BLOCK LETTERS and in black ink.

Return to your Financial Adviser or to Account Manager, Reyker Securities plc
46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097 Fax: 020 7491 1384.

Should you require additional copies this form may be photocopied.

1 Personal Details

Title (Mr/Mrs/Miss/Ms/Other) _____ Surname _____

First name(s) in full _____

Date of birth

--	--	--	--	--	--	--	--

Permanent residential address _____

Postcode _____ Telephone _____

E-mail address _____

Please tick the appropriate box if you hold or have held one or more of the following prominent public offices or one of the other items applies to you:

Head of state or government, senior politician, high administrative, judicial or military office, or official of a political party, senior positions within a state-owned enterprise (member of a senior executive body).

None of the above applies to me.

I am related to one of the above namely: _____

2 Joint Holders - Direct Investments only

Title (Mr/Mrs/Miss/Ms/Other) _____ Surname _____

First name(s) in full _____

Date of birth

--	--	--	--	--	--	--	--

Please tick the appropriate box if you hold or have held one or more of the following prominent public offices or one of the other items applies to you:

Head of state or government, senior politician, high administrative, judicial or military office, or official of a political party, senior positions within a state-owned enterprise (member of a senior executive body).

None of the above applies to me.

I am related to one of the above namely: _____

3 On behalf of a child - Direct Investments only

Child's name _____

Date of birth

--	--	--	--	--	--	--	--

4 National Insurance Number

Do you have a National Insurance (NI) Number? Yes No

If 'YES' you must enter it here:

--	--	--	--	--	--	--	--	--	--

Joint Holder: Yes No

If 'YES' you must enter it here:

--	--	--	--	--	--	--	--	--	--

(You should be able to find your NI number on a payslip, or form P45 or P60, or a letter from HM Revenue & Customs, or a letter from the DWP, or pension order book).

5 Bank Details For Payments

Payments may be paid, by direct credit only, by the Bankers Automated Credit System (BACS) to an account within the UK clearing system. Unless you inform us otherwise, early closure or maturity payments will be made to this account.

Bank/Building Society _____

Address _____

Postcode _____

Name of Account _____

Bank Sort Code

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Full Account Number _____

Building Society Reference or Roll Number (if applicable) _____



OPTIMAL INVESTMENT SOLUTIONS

Capital Accumulator Auto-Call Plan – Dual Index Series V

6 New Subscriptions

(i) Direct Investment:

I apply to subscribe the following amount to the Plan (minimum £10,000, no maximum).

£ _____

(ii) Stocks & Shares ISA 2009/2010:

I apply to subscribe the following amount to a Stocks & Shares ISA for the tax year ending 5/4/2010 only (minimum/maximum £7,200 for investors born on or after 6th April 1960 and £10,200 for investors born on or before 5th April 1960).

£ _____

Total Subscription:

£ _____

Please make your cheque payable to 'Reyker Securities plc - BSAM Client A/C', or for Building Society cheques 'Reyker Securities plc - BSAM Client A/C reference [your name]'

7 Existing Plan Transfer Please tick as applicable

Stocks and Shares ISA Cash ISA

High Income Corridor Auto-Call Plan II

Other: _____

Approximate Value £ _____

Please complete the attached ISA Transfer Mandate or Rollover Mandate.

8 Appropriateness

Have you received a personal recommendation from an adviser to invest in this product? Yes No

If "Yes", please proceed to Section 9. If "No" please answer the questions below before proceeding to Section 9. If you do not answer these additional questions, we will not be able to accept your application.

Appropriateness Questions

The following questions only need to be answered if you have not received investment advice.

Question 1

Have you, in the last 5 years, held any of the following investments?

Shares Stocks and Shares ISAs

Unit Trusts/OEICS

A product similar to the one you are applying for [known as a Structured Product] i.e. a fixed term investment, where you may get back less than you invested.

Question 2

Do you understand that this plan is linked to stock market investments and how your capital repayment is linked to the performance of the underlying investments at maturity? Yes No

Question 3

Do you understand that the investment has been designed to be held for the full term? Yes No

Question 4

Do you understand that surrender or transfer to another Account Manager before maturity is only available on a twice-monthly basis and that by doing so you may get back less than you invested, due to movements in the underlying investments, surrender charges or any other charges built into the product? Yes No

Question 5

Do you fully understand the Risk Factors outlined in the brochure? Yes No

Full details on these risks are contained within the Brochure and Terms & Conditions, which you should have read and understood.

9 Data Protection

I/We understand that my/our personal details will be passed to or used by Blue Sky Asset Management and Account Manager Reyker Securities plc for the purposes of administering my/our investments and for fraud prevention and this could include passing details to our agents and sub-contractors in the UK or any other country (even those which have only limited or no applicable Data Protection Laws) provided that Reyker Securities plc remain responsible for making sure the information is held securely.

10 Declaration and Authority

Applicable to all ISA applicants I declare that:

1. All subscriptions made belong to me;
2. I have not subscribed and will not subscribe more than the maximum allowed to a Cash ISA or Stocks & Shares ISA in the same tax year;
3. I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I have subscribed to this Stocks & Shares ISA;
4. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Reyker Securities plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with a person who performs such duties.

For all Applicants - I/we declare that:

1. I/we am/are 18 years of age or over;
2. I/we am/are not, and am not acting on behalf of, a resident of the United States; and that I/we will not assist any person who is so resident to acquire investments in the Plan. Further, I/we agree to inform Reyker Securities plc immediately should I/we become a resident of the United States.

I/we authorise Reyker Securities plc:

1. To hold my/our cash subscription, Direct Investments, ISA investments, interest (as applicable), dividends and other rights or proceeds in respect of those investments and any cash or other proceeds;
2. To make on my/our behalf any claims to relief from tax in respect of ISA investments; and
3. To supply an annual statement.
4. If I am transferring an existing ISA, to invest my cash subscriptions on the first available date after receipt of all cleared funds from my existing account manager as specified but in any event no later than the start date.

Early encashment may also lead to some loss of capital. Investors should be aware that the value of units and shares can fall as well as rise and that past performance IS NOT an indication of future performance.

I/We have read and understood the Plan brochure, including the Terms & Conditions, and accept the terms under which my investments will be managed. I/We understand that applications are considered on a first come first served basis (although Blue Sky Asset Management and Account Manager Securities plc reserve the right not to accept an application) and that for any applications not accepted, the investment will be returned to me/us without interest. I/We accept that banking of a cheque does not constitute acceptance of an application and that I/we will be notified if my/our application is accepted. I/We acknowledge that the Account Manager will provide an annual report on the value of my investment. I/We declare that this application form has been completed to the best of my knowledge and belief. I/We understand in certain circumstances, when insufficient documentary evidence is provided, Reyker Securities plc may need to verify my/our identity electronically.

Signature of Investor(s)

Signature _____

Date _____

Joint Holder if applicable (Direct Investment only):

Signature _____

Date _____

Account Manager Reyker Securities plc, which is authorised and regulated by the Financial Services Authority, 25 North Colonnade, Canary Wharf, London E14 5HS. Please refer to the Plan Brochure for further information. Reyker Securities plc is registered in England (no. 1747595). Registered and trading address: 46 St James's Place, London SW1A 1NS.

For Financial Adviser completion

I certify that: (please tick as appropriate)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant name and address as shown on the Application Form is correct: The details of the underlying records of identity are as described below (document name + detail + date, e.g. Driving licence SMITH625082JT4EG (expiry date), Gas bill DD.MM.YY cus ref 12345678) and copies are available for inspection if required:

Proof(s) of identity _____

Proof(s) of residency _____

Any additional check(s) or relevant customer information

OR

2. I have not verified the identity of the Applicant for the following reason:

Adviser name _____

FSA Number _____

Company Name & Address

Branch/Office (if appropriate) _____

Have you provided the Customer with investment advice in relation to this investment? Yes No

If 'No, please check that section 8 of this application form has been completed by the client.

Additional Instructions - including commission arrangements (Please note that commission sacrifice is not allowable in respect of ISA investments)

Signature of Adviser

Signature _____

Date _____

ISA Transfer Mandate



OPTIMAL INVESTMENT SOLUTIONS

Capital Accumulator Auto-Call Plan – Dual Index Series V

Please complete in **BLOCK LETTERS** and in **black ink**. Return to your Financial Adviser or to Account Manager, Reyker Securities plc
46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097 Fax: 020 7491 1384.

Should you require additional copies this form may be photocopied.

1. Personal Details

Please tick which options (note: this form may be photocopied if additional mandates are required)

Stocks and Shares ISA Cash ISA

Title(Mr/Mrs/Miss/Ms/Other) _____ Initial _____

Surname _____

Date of Birth _____

Permanent residential address _____

Postcode _____ Telephone _____

2. Existing ISA Details

Name of Account Manager _____

Address of Account Manager _____
_____ Postcode _____

Account Number _____

Type of Account* _____

Approximate Transfer Value _____

Account Number _____

Type of Account* _____

Approximate Transfer Value _____

Account Number _____

Type of Account* _____

Sort code _____

Building Society roll no. _____

Approximate Transfer Value _____

*Type of account e.g. Stocks and Shares ISA/Cash ISA

Minimum aggregate transfer value £7,200

Please return to your Financial Adviser or to Account Manager
Reyker Securities plc, 46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097. Fax: 020 7491 1384.

3. Declaration and Authority

I hereby instruct you to sell investments within my ISA immediately and transfer the cash proceeds, together with any interest, dividends, rights and any other cash within my ISA (less any amount you are entitled to keep under the terms of the ISA), to **Reyker Securities plc, 46 St James's Place, London SW1A 1NS**. Cheques should be made payable to Reyker Securities plc – BSAM Client A/C.

Please transfer my ISA once instructions have been received from Reyker Securities plc to do so. After transfer, all dividends and tax credits due should be made payable directly to me. If you are not in a position to transfer my cash proceeds by the Start Date at the latest, please cancel my request to transfer and reinstate my ISA.

Signature _____ Date _____

4. Additional Information (if any)

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Rollover Mandate

This form is for the Rollover of Maturing Plans from Keydata Investment Services only.

Please complete in **BLOCK LETTERS** and in **black ink**. Return to your Financial Adviser or to Account Manager, Reyker Securities plc
46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097 Fax: 020 7491 1384.

Should you require additional copies this form may be photocopied.



OPTIMAL INVESTMENT SOLUTIONS

Capital Accumulator Auto-Call Plan – Dual Index Series V

1. Account Details

Account Name _____

Account Address _____

Postcode _____ Telephone _____

2. Existing Plan Details

Existing Plan Manager Keydata Investment Services Limited

Existing Plan:
(Please tick as appropriate)

Blue Sky Asset Management
High Income Corridor Auto-Call Plan II

Other: _____

Existing Account Number _____

Please return to your Financial Adviser or to Account Manager
Reyker Securities plc, 46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097. Fax: 020 7491 1384.

3. Instruction to Existing Plan Account Manager

I hereby instruct you to transfer the maturity proceeds of my Plan immediately together with any interest, dividends, rights and any other cash within my Plan, to **Reyker Securities plc, 46 St James's Place, London SW1A 1NS**. Proceeds should be sent to Reyker Securities plc – BSAM client account. Please quote "BSAM Client name" on the reference.

Please transfer my Plan once instructions have been received from Reyker Securities plc to do so. After transfer, all dividends and tax credits due should be made payable directly to me.

Signature _____ Date _____

4. Additional Information (if any)

Please ensure that you have also completed the appropriate Application Form

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Pension Scheme (SIPP and SSAS), Trustee, Charity

Please complete in **BLOCK LETTERS** and in **black ink**. Return to your Financial Adviser or to Account Manager, Reyker Securities plc
46 St James's Place, London SW1A 1NS.
Telephone: 020 7499 9097 Fax: 020 7491 1384.

Should you require additional copies this form may be photocopied.

Please provide a copy of the Trust Deed and approved signatory list (if applicable).

1 Scheme Name

If Small Self-Administered Scheme (SSAS), name of the Pensioner Trustee

Correspondence Address

Postcode

Please tick the appropriate box if you hold or have held one or more of the following prominent public offices or one of the other items applies to you:

Head of state or government, senior politician, high administrative, judicial or military office, or official of a political party, senior positions within a state-owned enterprise (member of a senior executive body).

None of the above applies to me/us.

I/We am/are related to one of the above namely: _____

2 Scheme Details

(please tick as appropriate)

Is the Scheme:

A Self Invested Personal Pension (SIPP)?

A Small Self Administered Scheme (SSAS)?

Please note that in order to process this application, you **MUST** provide the following documentation, unless previously provided:

HMRC Pension Scheme Summary page

Certified Signatory List

3 Investment Amount

£ _____

Please make your cheque payable to 'Reyker Securities plc - BSAM Client A/C', or for Building Society cheques 'Reyker Securities plc - BSAM Client A/C reference [your name]', alternatively you can effect an electronic transfer by calling the Account Manager Reyker Securities plc on 020 7499 9097 for details.

If you wish to transfer the proceeds of a maturing Plan please tick this box and complete the Rollover Mandate

4 Bank Details For Payments

Payments may be paid, by direct credit only, by the Bankers Automated Credit System (BACS) to an account within the UK clearing system. Unless you inform us otherwise, early closure or maturity payments will be made to this account.

Bank/Building Society _____

Address _____

Postcode _____

Name of Account _____

Bank Sort Code

Full Account Number _____

Building Society Reference
or Roll Number (if applicable) _____



OPTIMAL INVESTMENT SOLUTIONS

Capital Accumulator Auto-Call Plan – Dual Index Series V

5 Money Laundering Regulations

Money Laundering Regulations 2007: Under the regulations there is a legal requirement to prove the identity of the following; a) the provider of the funds; b) those that have control over the funds; c) any controllers that have the power to remove the Trustees.

I/We certify that: (please tick as appropriate)

1. I/We have verified the identity of the 2 Signatories in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Signatories' names and addresses are correct: The details of the underlying records of identity are as described below (document name + detail + date, e.g. Driving licence SMITH625082JT4EG (expiry date), Gas bill DD.MM.YY cus ref 12345678) and copies are available for inspection if required:

Proof of identity (Signatory A):

Name: _____

Capacity (eg Trustee): _____

Proof of identity
(eg Passport Number) _____

Proof of residency _____

Proof of identity (Signatory B):

Name: _____

Capacity (eg Trustee): _____

Proof of identity
(eg Passport Number) _____

Proof of residency _____

Any additional check(s) or relevant customer information:

OR

2. I/We have not verified the identity of the 2 Signatories for the following reason:

Adviser Signature _____

Date _____

6 Appropriateness

Have you received a personal recommendation from an adviser to invest in this product? Yes No

If "Yes", please proceed to **Section 7**. If "No" please answer the questions below before proceeding to **Section 7**. If you do not answer these additional questions, we will not be able to determine whether this investment is appropriate for you.

Appropriateness Questions

The following questions only need to be answered if you have not received investment advice.

Question 1

Have you, in the last 5 years, held any of the following investments?

- Shares
- Stock and Shares ISAs
- Unit Trusts/ OEICS
- A product similar to the one you are applying for [known as a Structured Product] i.e. a fixed term investment, where you may get back less than you invested.

Question 2

Do you understand that this plan is linked to stock market investments and how your capital repayment is linked to the performance of the underlying investments at maturity? Yes No

Question 3

Do you understand that the investment has been designed to be held for the full term? Yes No

Question 4

Do you understand that surrender or transfer to another Account Manager before maturity is only available on a twice-monthly basis and that by doing so you may get back less than you invested, due to movements in the underlying investments, surrender charges or any other charges built into the product? Yes No

Question 5

Do you fully understand the Risk Factors outlined in the brochure? Yes No

Full details on these risks are contained within the Brochure and Terms & Conditions, which you should have read and understood.

7 Data Protection

I/We understand that my/our personal details will be passed to or used by Blue Sky Asset Management and Account Manager Reyker Securities plc for the purposes of administering my/our investments and for fraud prevention and this could include passing details to our agents and sub-contractors in the UK or any other country (even those which have only limited or no applicable Data Protection Laws) provided that Reyker Securities plc remain responsible for making sure the information is held securely.

8 For Financial Adviser Completion

Name _____

Company name _____

Address _____

Postcode _____

FSA Number _____

Have you provided the Customer with investment advice in relation to this investment? Yes No

If 'No', please check that section 6 of this application form has been completed by the client.

9 Declaration and Authority

I/We wish to apply for the Plan and agree to be bound by the terms and conditions of the investment. I/We have read and understood the Plan brochure, including the Terms & Conditions. I/We understand that applications are considered on a first come first served basis (although Blue Sky Asset Management and Account Manager Reyker Securities plc reserve the right not to accept an application) and that for any applications not accepted, the investment will be returned to me/us without interest. I/We accept that the banking of a cheque does not constitute acceptance of an application and that I/We will be notified if my/our application is accepted.

I/We declare that the information given is true and complete to the best of my/our knowledge.

I understand in certain circumstances, when insufficient documentary evidence is provided, Reyker Securities plc may need to verify my/our identity electronically.

We declare that:

- a) If the Scheme is an occupational scheme, it is approved, or is seeking approval, as an exempt approved scheme by the Board of HM Revenue & Customs under Chapter I of Part XIV of the Finance Act 2004. We agree to notify Account Manager Reyker Securities plc if approval is withdrawn or not granted.
- b) If the Scheme is a personal pension scheme, it is approved by the Board of HM Revenue & Customs under Chapter IV of Part XIV of the Finance Act 2004. We agree to notify Account Manager Reyker Securities plc if approval is withdrawn or not granted.
- c) We are applying for the Plan on behalf of other persons who are beneficially entitled to the Plan and declare that to the best of our knowledge and belief, none of the beneficiaries are resident or ordinarily resident in the Republic of Ireland or the United States. We will inform Account Manager Reyker Securities plc, in writing, if we become aware that this declaration is no longer correct.
- d) We are applying for the Plan on behalf of a Pension Scheme and that the Scheme is not in the Republic of Ireland or the United States. We will inform you, in writing, should the Scheme become resident in the Republic of Ireland or the United States.

We authorise Reyker Securities plc:

- 1) To hold cash subscriptions, Plan investments, interest, dividends, shares and any other rights or proceeds in respect of those investments and any cash or other proceeds;
- 2) On request, to pay to the Trustees of the Scheme, the cash proceeds of the Plan investments. If the Scheme is a SSAS, the pensioner Trustee must agree in writing to this request.

Signature of person authorised to sign on behalf of the Trustees

Signature _____

Date _____

Signature of person authorised to sign on behalf of the Trustees

Signature _____

Date _____

Additional Instructions - including commission arrangements

Please return this completed form together with your cheque and a certified copy of the signatory list detailing the name of all Trustees and specimen signatures to your Independent Financial Adviser, who will then pass them on to Reyker Securities plc, 46 St James's Place, London SW1A 1NS.

Corporate Investment



OPTIMAL INVESTMENT SOLUTIONS

Capital Accumulator Auto-Call Plan – Dual Index Series V

Please complete in **BLOCK LETTERS** and in **black ink**, and return to your Financial Adviser or to the Account Manager, Reyker Securities plc, 46 St James's Place, London SW1A 1NS. Telephone: 020 7499 9097 Fax: 020 7491 1384.

Should you require additional copies this form may be photocopied.

1 Company Details

Company name _____
Contact name _____
Address _____

Postcode _____ Telephone _____

2 HM Revenue & Customs Number

HMRC Reference _____
Is the company:
 A public company? A private company?

Please note that if the application is for a private company, in order to process this application, you **MUST** provide the following documentation, unless previously provided:

- a) A Companies House check
- b) Certified Copy of Authorised Signatory List with matching signatories, and
- c) Personal Anti-Money Laundering evidence for any shareholder of the company who holds a 25% stake or more.

3 Authorised Signatory Information

Please tick the appropriate box if you hold or have held one or more of the following prominent public offices or one of the other items applies to you:

- Head of state or government, senior politician, high administrative, judicial or military office, or official of a political party, senior positions within a state-owned enterprise (member of a senior executive body).
- None of the above applies to me.
- I am related to one of the above namely: _____

4 Bank Details For Payments

Payments may be paid, by direct credit only, by the Bankers Automated Credit System (BACS) to an account within the UK clearing system. Unless you inform us otherwise, early closure or maturity payments will be made into this account.

Bank/Building Society _____
Address _____

Postcode _____
Name of Account _____
Bank Sort Code
Full Account Number _____
Building Society Reference
or Roll Number (if applicable) _____

5 New Subscriptions

(i) Direct Investment:

I apply to subscribe the following amount to the Plan (minimum £10,000, no maximum).

£ _____

Please make your cheque payable to 'Reyker Securities plc - BSAM Client A/C', or for building society cheques 'Reyker Securities plc - BSAM Client A/C reference [your company name]'

If you wish to transfer the proceeds of a maturing Plan please tick this box and complete the Rollover Mandate.

6 Appropriateness

Has your company, or if your company is acting as a nominee has the beneficial owner received a personal recommendation from an adviser to invest in this product? Yes No

If "Yes", please proceed to **Section 7**. If "No" please answer the questions below before proceeding to **Section 7**. If you do not answer these additional questions, we will not be able to determine whether this investment is appropriate for you.

Appropriateness Questions

The following questions only need to be answered if you have not received investment advice.

Question 1

Has your company or the beneficial owner, in the last 5 years, held any of the following investments?

- Shares
- Stocks and Shares ISAs
- Unit Trusts/ OEICS
- A product similar to the one you are applying for [known as a Structured Product] i.e. a fixed term investment, where you may get back less than you invested.

Question 2

Does your company or the beneficial owner understand that this plan is linked to stock market investments and how your capital repayment is linked to the performance of the underlying investments at maturity? Yes No

Question 3

Does your company or the beneficial owner understand that the investment has been designed to be held for the full term? Yes No

Question 4

Does your company or the beneficial owner understand that surrender or transfer to another Account Manager before maturity is only available on a twice-monthly basis and that by doing so you may get back less than you invested, due to movements in the underlying investments, surrender charges or any other charges built into the product? Yes No

Question 5

Does your company or the beneficial owner fully understand the Risk Factors outlined in the brochure? Yes No

Full details on these risks are contained within the Brochure and Terms & Conditions, which you should have read and understood.

7 Data Protection

We understand that our personal details will be passed to or used by Blue Sky Asset Management and Account Manager Reyker Securities plc for the purposes of administering my/our investments and for fraud prevention and this could include passing details to our agents and sub-contractors in the UK or any other country (even those which have only limited or no applicable Data Protection Laws) provided that Reyker Securities plc remain responsible for making sure the information is held securely.

8 Declaration and Authority

We authorise Reyker Securities plc to hold cash subscriptions, Plan investments, interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds.

We declare that:

- a) The Company is a registered company for the purposes of part IV of the Finance Act 2004. We agree to notify Reyker Securities plc if approval is withdrawn or not granted.
- b) If we are applying for the Plan on behalf of other persons who are beneficially entitled to the Plan and declare to the best of our knowledge and belief, none of the beneficiaries are resident or ordinarily resident in the United States. We will inform you, in writing, if we become aware that this declaration is no longer correct.
- c) If we are applying for the Plan on behalf of a company that the Company is not resident in the United States and we will inform you, in writing, if the Company becomes resident in the United States.

Early encashment may also lead to some loss of capital. Investors should be aware that the value of units and shares can fall as well as rise and that past performance IS NOT an indication of future performance.

We have read and understood the Plan brochure, including the Terms & Conditions, and accept the terms under which our investments will be managed. We understand that applications are considered on a first come first served basis (although Blue Sky Asset Management and Account Manager Securities plc reserve the right not to accept an application) and that for any applications not accepted, the investment will be returned to us without interest. We accept that banking of a cheque does not constitute acceptance of an application and that we will be notified if my/our application is accepted. We acknowledge that the Account Manager will provide an annual report on the value of our investment. We declare that this application form has been completed to the best of our knowledge and belief. We understand in certain circumstances, when insufficient documentary evidence is provided, Reyker Securities plc may need to verify our identity electronically.

Signature of person(s) authorised to sign on behalf of the Company

Signature _____

Date _____

Signature _____

Date _____

Account Manager Reyker Securities plc, which is authorised and regulated by the Financial Services Authority, 25 North Colonnade, Canary Wharf, London E14 5HS. Please refer to the Plan Brochure for further information. Reyker Securities is registered in England (no. 1747595). Registered and trading address: 46 St James's Place, London SW1A 1NS.

For Financial Adviser completion

I certify that: (please tick as appropriate)

- 1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant name and address as shown on the Application Form is correct: The details of the underlying records of identity are as described below (document name + detail + date, e.g. Driving licence SMITH625082JT4EG (expiry date), Gas bill DD.MM.YY cus ref 12345678) and copies are available for inspection if required:

Proof(s) of identity _____

Proof(s) of residency _____

Any additional check(s) or relevant customer information

OR

- 2. I have not verified the identity of the Applicant for the following reason:

Adviser name _____

FSA Number _____

Company Name & Address

Branch/Office (if appropriate) _____

Have you provided the Customer with investment advice in relation to this investment? Yes No

If 'No, please check that section 8 of this application form has been completed by the client.

Additional Instructions - including commission arrangements (Please note that commission sacrifice is not allowable in respect of ISA investments)

Signature of Adviser

Signature _____

Date _____